

# Holography, localization, black holes

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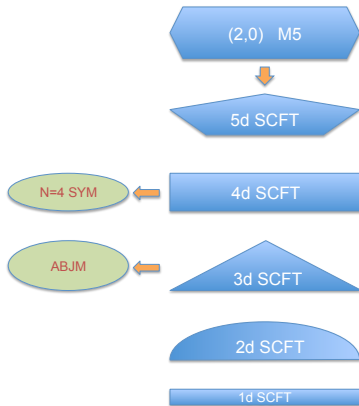
# Introduction

Lot of recent activity in the study of supersymmetric and superconformal theories in various dimensions in closely related contexts

- A deeply interconnected web of supersymmetric theories arising from branes, most often strongly coupled, related by various types of dualities.
- Progresses in the evaluation of exact quantum observables. Related to localization and the study of supersymmetry in curved space.
- Many results on indices and counting problems that can be also related to BH physics.

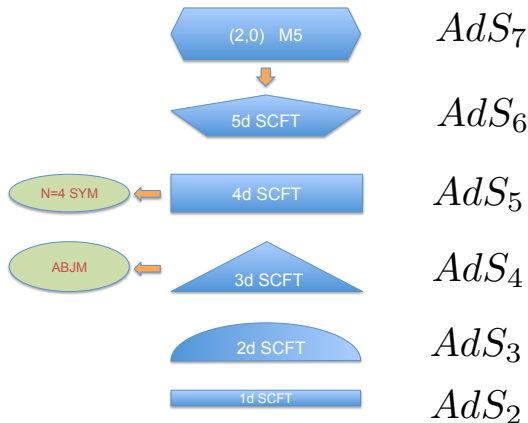
# Introduction

A large superconformal zoo



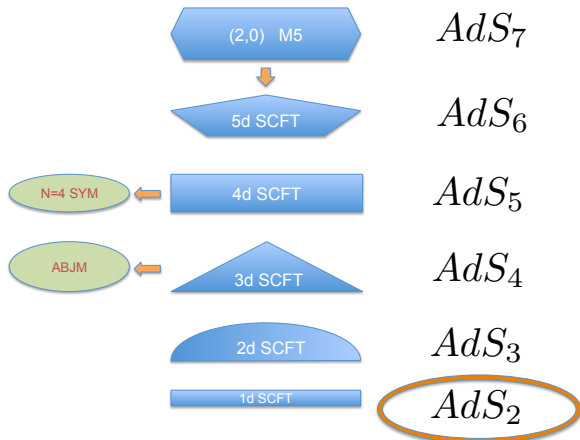
# Introduction

A large superconformal zoo with holographic duals



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# Introduction

Supersymmetric black holes have horizon  $\text{AdS}_2 \times S^2$

- $\text{CFT}_1$  living at the horizon of black holes
- density of states = BH entropy

# Introduction

Conformal algebra in 1d involves  $SL(2, R)$

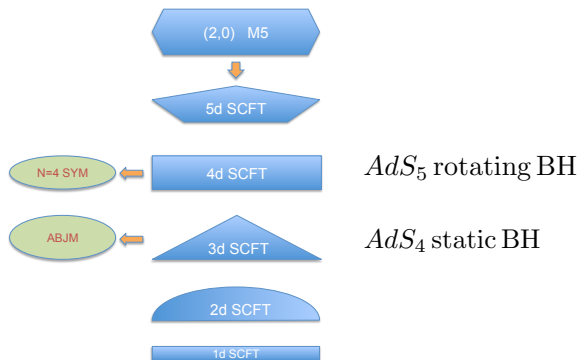
$$H, \quad D, \quad K$$

with various superconformal extensions.

- $AdS_2/CFT_1$  still poorly understood.

# Introduction

Supersymmetric AdS black holes are also related by holography to states of  $CFT_3$  and  $CFT_4$





# Introduction

Entropy of AdS BH should be related to the counting of supersymmetric states in CFT:

- We still don't know where to look for AdS<sub>5</sub>: people tried with superconformal index, 1/4-BPS partition functions, ...
- We have now an answer for AdS<sub>4</sub>: the index of the topologically twisted theory

# Euclidean SCFT in finite volume

To regularize IR physics we can put the theory on a Euclidean compact manifold.  
Supersymmetry restricts the manifold

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## Topological Field Theories [Witten, 1988]

- $N = 2$  theories in 4d with  $SU(2)_R$  R-symmetry can be consistently defined on any  $M_4$  euclidean manifold
- The resulting theory is *topological*: independent of metric.

$$T_{\mu\nu} = \{Q, \dots\}$$

# Euclidean SCFT in finite volume

To regularize IR physics we can put the theory on a Euclidean compact manifold. Supersymmetry restricts the manifold

## SCFT on Spheres [\[Pestun 2007\]](#)

- Just use conformal map from  $\mathbb{R}^n$  to  $S^n$

# Euclidean SCFT in finite volume

To regularize IR physics we can put the theory on a Euclidean compact manifold. Supersymmetry restricts the manifold

In between a plethora of possibilities.

- A general method is to couple to supergravity and find solution of  
[Festuccia-Seiberg]

$$\delta\psi_\mu(x) = \nabla_\mu\epsilon + \dots \equiv 0$$

Backgrounds with twisted (conformal) Killing spinors have been classified in various dimensions and with various amount of supersymmetry.

[klare,tomasiello,A.Z.;dumitrescu, festuccia, seiberg; Closser,Dumitrescu,Festuccia,Komargodski; ...]

# Superconformal theories on curved spaces

For SCFT, the variation of the gravitino can be written as a (twisted) conformal Killing equation

$$(\nabla_a - iA_a^R)\epsilon_+ + \gamma_a\epsilon_- = 0 \quad \Longrightarrow \quad \nabla_a^A\epsilon_+ = \frac{1}{d}\gamma_a\nabla^A\epsilon_+$$

# Superconformal theories on curved spaces

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- for theories with more supercharges and in different dimensions,  $A_a^R$  is promoted to a non-abelian gauge field, there can be other background tensor fields and extra conditions.

# Superconformal theories on curved spaces

A possible solution is to have **covariantly constant spinors**

$$\nabla_a^A \epsilon_+ = \frac{1}{d} \gamma_a \not{\nabla}^A \epsilon_+ \quad \text{solved by} \quad \nabla_a^A \epsilon_+ = 0$$

**Topological Field Theories** can be realized this way by canceling the spin connection with a background R-symmetry

$$\nabla_a^A \epsilon_+ = \partial_a \epsilon_+ + \frac{1}{4} \omega_a^{\alpha\beta} \Gamma^{\alpha\beta} \epsilon_+ + A_a^R \epsilon_+ = \partial_a \epsilon_+$$

which can be solved by  $\epsilon_+ = \text{constant}$  on any manifold



# Superconformal theories on curved spaces

The well know examples of **Topological Field Theories** back in the eighties

$$\nabla_a^A \epsilon_+ = \partial_a \epsilon_+ + \frac{1}{4} \omega_a^{\alpha\beta} \Gamma^{\alpha\beta} \epsilon_+ + A_a^R \epsilon_+ = \partial_a \epsilon_+$$

- $N = 2$  theories on any  $M_4$ :  $SU(2)_R$  background gauge field  
 $\epsilon_+$  transform in the  $(2, 0)$  representation of the local Euclidean group  $SO(4) = SU(2) \times SU(2)$
- A twist in 2d on any Riemann surface  $\Sigma_g$ :  $U(1)_R$  background gauge field

# Superconformal theories on curved spaces

A possible solution is to have **Killing spinors**

$$\nabla_a^A \epsilon_+ = \frac{1}{d} \gamma_a \nabla^A \epsilon_+ \quad \text{solved by} \quad \nabla_a \epsilon_+ = \gamma_a \epsilon_+$$

Realized for **Theories on Spheres**, with zero background field  $A^R = 0$ .

# Superconformal theories on curved spaces

Or combinations: Killing spinors on  $S^d$  and R- and flavor holonomies on  $S^1$

$$\nabla_a^A \epsilon_+ = \frac{1}{d} \gamma_a \not{\nabla}^A \epsilon_+ \quad \text{solved by} \quad \nabla_a \epsilon_+ = \gamma_a \epsilon_+, A_t = i$$

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The path integral on  $S^d \times S^1$  can be written as a trace over a Hilbert space

$$Z_{S^d \times S^1} = \text{Tr}(-1)^F e^{-\beta\{Q,S\} + \sum \Delta_a J_a}$$

This is the **superconformal index**: it counts BPS states graded by dimension and charges

[Romelsberger; Kinney, Maldacena, Minwalla, Rahu]

computed for large class of 4d SCFT [Gadde, Rastelli, Razamat, Yan]

# Superconformal theories on curved spaces

Or combinations: a **topological twist** on  $\Sigma_g$  and **flavor holonomies** on  $S^1$  (or  $T^2$ )

$$\nabla_a^A \epsilon_+ = \frac{1}{d} \gamma_a \not{\nabla}^A \epsilon_+ \quad \text{solved by} \quad \nabla_a^A \epsilon_+ = 0, A_a^R = \omega_a$$

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The integral  $\int_{\Sigma_g} F^R$  gives a magnetic charge for R-symmetry

The path integral on  $\Sigma_g \times S^1$  can be written as a Witten index (elliptic genus)

$$Z_{\Sigma_g \times S^1} = \text{Tr}_{\mathcal{H}} \left( (-1)^F e^{iJ_F A^F} e^{-\beta H} \right)$$

$$Q^2 = H - \sigma^F J_F$$

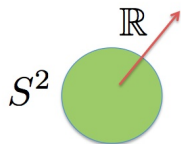
$$\text{holomorphic in } A^F + i\sigma^F$$

of the dimensional reduced theory on  $\Sigma_g$  and counts ground states graded by charges. This is the **topologically twisted index**.

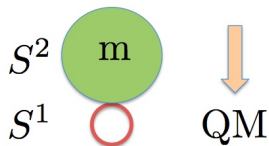
[Benini,AZ; Closset-Kim]

# Superconformal theories on curved spaces

The two indices are quite different



- the **superconformal index** counts the BPS states on  $S^2$ /operators



- the **topologically twisted index** counts the ground states/Landau levels of the theory on  $S^2$  with magnetic charges for  $\mathbb{R}$  and flavor symmetries

# Localization

Exact quantities in supersymmetric theories with a charge  $Q^2 = 0$  can be obtained by a saddle point approximation

$$Z = \int e^{-S} = \int e^{-S+t\{Q,V\}} \underset{t \gg 1}{=} e^{-\bar{S}|_{class}} \times \frac{\det_{fermions}}{\det_{bosons}}$$

$$\partial_t Z = \int \{Q, V\} e^{-S+t\{Q,V\}} = 0$$

Very old idea that has become very concrete recently, with the computation of partition functions on spheres and other manifolds supporting supersymmetry.



# Localization

Localization ideas apply to path integral of Euclidean supersymmetric theories

- **Compact space** provides IR cut-off, making path integral well defined
- **Localization** reduces it to a finite dimensional integral, a matrix model

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Localization ideas apply to path integral of Euclidean supersymmetric theories

- **Compact space** provides IR cut-off, making path integral well defined
- **Localization** reduces it to a finite dimensional integral, a matrix model

$$\int \prod_{i=1}^{N_1} du_i \prod_{j=1}^{N_2} dv_j \frac{\prod_{i<j} \sinh^2 \frac{u_i - u_j}{2} \sinh^2 \frac{v_i - v_j}{2}}{\prod_{i<j} \cosh^2 \frac{u_i - v_j}{2}} e^{\frac{ik}{4\pi} (\sum u_i^2 - \sum v_j^2)}$$

ABJM, 3d Chern-Simon theories, [Kapustin, Willet, Yakoov; Drukker, Marino, Putrov]

# Localization

Carried out recently in many cases

- many papers on topological theories
- $S^2$ ,  $T^2$
- $S^3$ ,  $S^3/\mathbb{Z}_k$ ,  $S^2 \times S^1$ , Seifert manifolds
- $S^4$ ,  $S^4/\mathbb{Z}_k$ ,  $S^3 \times S^1$ , ellipsoids
- $S^5$ ,  $S^4 \times S^1$ , Sasaki-Einstein manifolds

with addition of boundaries, codimension-2 operators, ...

Pestun 07; Kapustin,Willet,Yakoov; Kim; Jafferis; Hama,Hosomichi,Lee, too many to count them all ...

# Localization

In all cases, it reduces to a finite-dimensional matrix model on gauge variables, possibly summed over different topological sectors

$$Z_M(y) = \sum_{\mathfrak{m}} \int_{\mathcal{C}} dx Z_{\text{int}}(x, y; \mathfrak{m})$$

with different integrands and integration contours.

When backgrounds for flavor symmetries are introduced,  $Z_M(y)$  becomes an interesting and complicated function of  $y$  which can be used to test dualities

- Sphere partition function, Kapustin-Willet-Yakoov; . . .
- Superconformal index, Spironov-Vartanov; Gadde,Rastelli,Razamat,Yan; . . .
- Topologically twisted index, Benini,AZ; Closset-Kitm; . . .

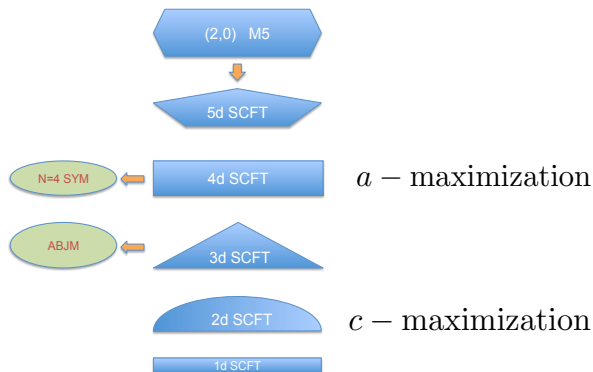
# Free energy on $S^d$

Successfully testing holographic prediction at large  $N$ : large  $N$  matrix model techniques



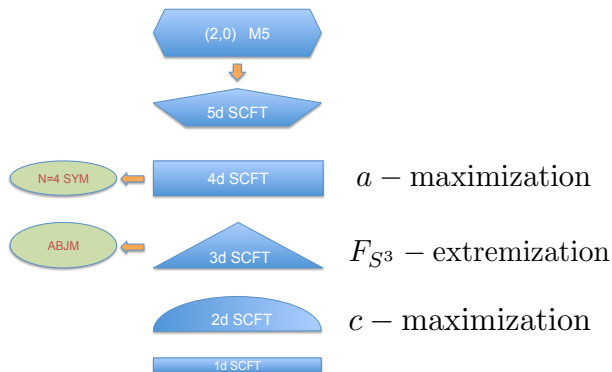
Free energy on  $S^d$ 

The free energy on  $S^3$  filled a gap



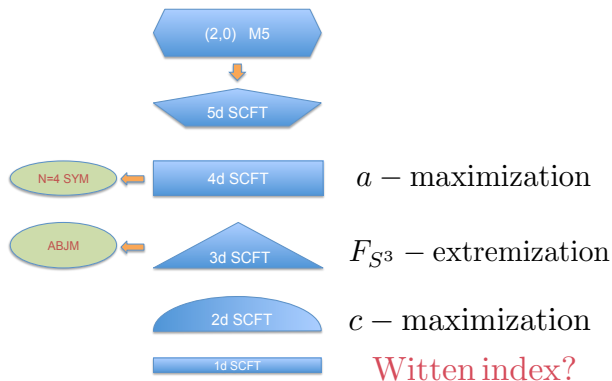
Free energy on  $S^d$ 

The free energy on  $S^3$  filled a gap [Jafferis,Klebanov,Pufu,Safdi,Casini,Huerta]



Free energy on  $S^d$ 

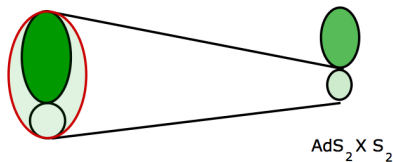
The free energy on  $S^3$  filled a gap





# AdS<sub>4</sub> black holes

A nice arena where many of the previous ingredients meet is the counting of microstates of asymptotically AdS<sub>4</sub> BPS black holes [Benini, Hristov, AZ]



AdS<sub>4</sub>

AdS<sub>2</sub> × S<sub>2</sub>

Entropy of black holes  
Counting of microstates

Partition function of twisted

3d CFT on S<sub>2</sub> × S<sub>1</sub>

QM fixed point

AdS<sub>4</sub> black holes

I'm talking about BPS asymptotically AdS<sub>4</sub> static black holes

$$ds^2 = e^{\mathcal{K}(X)} \left( gr + \frac{c}{2gr} \right)^2 dt^2 - \frac{e^{-\mathcal{K}(X)} dr^2}{\left( gr + \frac{c}{2gr} \right)^2} - e^{-\mathcal{K}(X)} r^2 ds_{\Sigma_g}^2$$

- vacua of  $N = 2$  gauged supergravities arising from M theory truncations
- supported by magnetic charges on  $\Sigma_g$ :  $\mathfrak{n} = \frac{1}{2\pi} \int_{\Sigma_g} F$
- preserving supersymmetry via an R-symmetry twist

$$(\nabla_\mu - iA_\mu)\epsilon = \partial_\mu \epsilon \quad \implies \quad \epsilon = \text{const}$$

[Cacciatori, Klemm; Gnechchi, Dall'agata; Hristov, Vandoren; Halmagyi; Katmadras]

# Holographic Perspective

General vacua of a bulk effective action

$$\mathcal{L} = -\frac{1}{2}\mathcal{R} + F_{\mu\nu}F^{\mu\nu} + V\dots$$

with a metric

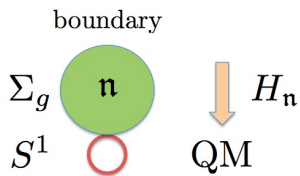
$$ds_{d+1}^2 = \frac{dr^2}{r^2} + (r^2 ds_{M_d}^2 + O(r)) \quad A = A_{M_d} + O(1/r)$$

and a gauge fields profile, correspond to CFTs on a d-manifold  $M_d$  and a non trivial background field for the R- or global symmetry

$$L_{CFT} + J^\mu A_\mu$$

AdS<sub>4</sub> black holes

The boundary theory is topologically twisted, with a magnetic charge for the R-symmetry and for the global symmetries of the theory

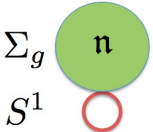



$$Z_{\Sigma_g^2 \times S^1}^{\text{twisted}}(\mathbf{n}, \Delta) = \text{Tr}_{\mathcal{H}} \left( (-1)^F e^{iJ\Delta} e^{-\beta H_n} \right)$$

AdS<sub>4</sub> black holes

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boundary

$\Sigma_g$ 



 $H_n$

$\text{QM}$

$$Z_{\Sigma_g^2 \times S^1}^{\text{twisted}}(\mathfrak{n}, \Delta) = \text{Tr}_{\mathcal{H}} \left( (-1)^F e^{iJ\Delta} e^{-\beta H_n} \right)$$

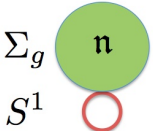
This is the Witten index of the QM obtained by reducing  $\Sigma_g^2 \times S^1 \rightarrow S^1$ .


- magnetic charges  $\mathfrak{n}$  are not vanishing at the boundary and appear in the Hamiltonian
- electric charges can be introduced using chemical potentials  $\Delta$

AdS<sub>4</sub> black holes

The boundary theory is topologically twisted, with a magnetic charge for the R-symmetry and for the global symmetries of the theory

boundary

$\Sigma_g$   

 $S^1$


 $H_n$   
 QM

$$Z_{\Sigma_g^2 \times S^1}^{\text{twisted}}(\mathbf{n}, \Delta) = \text{Tr}_{\mathcal{H}} \left( (-1)^F e^{iJ\Delta} e^{-\beta H_n} \right)$$

The BH entropy is related to a Legendre Transform of the index [Benini-Hristov-AZ]

$$S_{BH}(\mathbf{q}, \mathbf{n}) \equiv \text{Re} \mathcal{I}(\Delta) = \text{Re}(\log Z(\mathbf{n}, \Delta) - i\Delta \mathbf{q}), \quad \frac{d\mathcal{I}}{d\Delta} = 0$$

[similar to Sen's formalism, OSV, etc]

# Black holes in AdS<sub>4</sub> × S<sup>7</sup>

The ABJM twisted index is

$$\begin{aligned}
 Z = & \frac{1}{(N!)^2} \sum_{\mathbf{m}, \tilde{\mathbf{m}} \in \mathbb{Z}^N} \int \prod_{i=1}^N \frac{dx_i}{2\pi i x_i} \frac{d\tilde{x}_i}{2\pi i \tilde{x}_i} x_i^{k m_i} \tilde{x}_i^{-k \tilde{m}_i} \times \prod_{i \neq j}^N \left(1 - \frac{x_i}{x_j}\right) \left(1 - \frac{\tilde{x}_i}{\tilde{x}_j}\right) \times \\
 & \times \prod_{i,j=1}^N \left( \frac{\sqrt{\frac{x_i}{\tilde{x}_j}} y_1}{1 - \frac{x_i}{\tilde{x}_j} y_1} \right)^{m_i - \tilde{m}_j - n_1 + 1} \left( \frac{\sqrt{\frac{x_i}{\tilde{x}_j}} y_2}{1 - \frac{x_i}{\tilde{x}_j} y_2} \right)^{m_i - \tilde{m}_j - n_2 + 1} \\
 & \left( \frac{\sqrt{\frac{\tilde{x}_j}{x_i}} y_3}{1 - \frac{\tilde{x}_j}{x_i} y_3} \right)^{\tilde{m}_j - m_i - n_3 + 1} \left( \frac{\sqrt{\frac{\tilde{x}_j}{x_i}} y_4}{1 - \frac{\tilde{x}_j}{x_i} y_4} \right)^{\tilde{m}_j - m_i - n_4 + 1} \\
 & \prod_i y_i = 1, \quad \sum n_i = 2(1 - g)
 \end{aligned}$$

where  $\mathbf{m}, \tilde{\mathbf{m}}$  are the gauge magnetic fluxes,  $y_i = e^{i\Delta_i}$  are fugacities and  $n_i$  the magnetic fluxes for the three independent  $U(1)$  global symmetries

# Black holes in AdS<sub>4</sub> × S<sup>7</sup>

Strategy:

- Re-sum geometric series in  $\mathfrak{m}, \tilde{\mathfrak{m}}$ .

$$Z = \int \frac{dx_i}{2\pi i x_i} \frac{d\tilde{x}_i}{2\pi i \tilde{x}_i} \frac{f(x_i, \tilde{x}_i)}{\prod_{j=1}^N (e^{iB_j} - 1) \prod_{j=1}^N (e^{i\tilde{B}_j} - 1)}$$

- Step 1: find the zeros of denominator  $e^{iB_i} = e^{i\tilde{B}_j} = 1$  at large N
- Step 2: evaluate the residues at large N

$$Z \sim \sum_I \frac{f(x_i^{(0)}, \tilde{x}_i^{(0)})}{\det \mathbb{B}}$$

[Benini-Hristov-AZ]

[extended to other model Hosseini-AZ; Hosseini-Mekareeya]



# Black holes in AdS<sub>4</sub> × S<sup>7</sup>

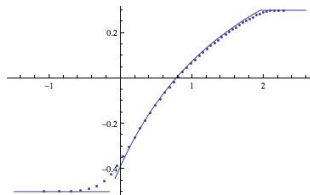
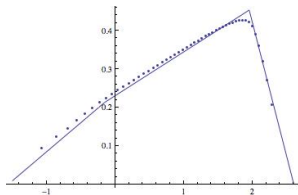
Step 1: solve the large N Limit of algebraic equations giving the positions of poles

$$1 = x_i^k \prod_{j=1}^N \frac{(1 - y_3 \frac{\tilde{x}_j}{x_i})(1 - y_4 \frac{\tilde{x}_j}{x_i})}{(1 - y_1^{-1} \frac{\tilde{x}_j}{x_i})(1 - y_2^{-1} \frac{\tilde{x}_j}{x_i})} = \tilde{x}_j^k \prod_{i=1}^N \frac{(1 - y_3 \frac{\tilde{x}_j}{x_i})(1 - y_4 \frac{\tilde{x}_j}{x_i})}{(1 - y_1^{-1} \frac{\tilde{x}_j}{x_i})(1 - y_2^{-1} \frac{\tilde{x}_j}{x_i})}$$

Bethe Ansatz Equations - derived by a potential  $\mathcal{V}_{BA}(x_i, \tilde{x}_i)$

with an ansatz

$$\log x_i = i\sqrt{N}t_i + v_i, \quad \log \tilde{x}_i = i\sqrt{N}t_i + \tilde{v}_i$$



# Black holes in AdS<sub>4</sub> × S<sup>7</sup>

The index is obtained from  $\mathcal{V}_{BA} \sim \sqrt{\Delta_1 \Delta_2 \Delta_3 \Delta_4}$ :

$$\mathcal{I}(\Delta) = \frac{1}{3} N^{3/2} \sum_i \left( -\sqrt{2k \Delta_1 \Delta_2 \Delta_3 \Delta_4} \frac{n_i}{\Delta_i} - i \Delta_i q_i \right) \quad y_i = e^{i \Delta_i}$$

This function can be extremized with respect to the  $\Delta_i$  and

$$\text{Re } \mathcal{I}|_{crit} = \text{BH Entropy}(n_i, q_i)$$

$$\Delta_i|_{crit} \sim X^i(r_h)$$

[Benini-Hristov-AZ]

## A. Attractor mechanism

The BPS equations at the horizon imply that the gauge supergravity quantity

$$\mathcal{R} = (F_\Lambda n^\Lambda - X^\Lambda q_\Lambda), \quad F_\Lambda = \frac{\partial \mathcal{F}}{\partial X^\Lambda}$$

with  $(q, n)$  electric and magnetic charges, is extremized with respect to the scalar fields at the horizon and its critical value gives the entropy

Under  $X^\Lambda \rightarrow \Delta^\Lambda$

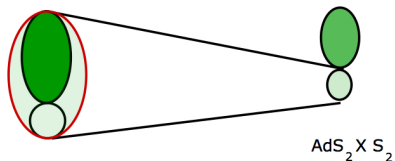
$$\mathcal{F} = 2i\sqrt{X^0 X^1 X^2 X^3} \equiv \mathcal{V}_{BA}(\Delta)$$

$$i\mathcal{R} = \sum -\frac{n_\Lambda}{X^\Lambda} \sqrt{X^0 X^1 X^2 X^3} - iX^\Lambda q_\Lambda \equiv \mathcal{I}(\Delta)$$

[Benini-Hristov-AZ; Hosseini-AZ]

## B. R-symmetry extremization

Recall the cartoon



Entropy of black holes  
Counting of microstates

AdS<sub>4</sub>

AdS<sub>2</sub> × S<sub>2</sub>

Partition function of twisted

3d CFT on S<sub>2</sub> × S<sub>1</sub>

QM fixed point

## B. R-symmetry extremization

The extremization reflects exactly what's going on in the bulk. The graviphoton field strength depends on  $r$

$$T_{\mu\nu} = e^{K/2} X^\Lambda F_{\Lambda, \mu\nu}$$

suggesting that the R-symmetry is different in the IR and indeed

$$\Delta_i|_{crit} \sim X^i(r_h)$$

## B. R-symmetry extremization

Some QFT extremization is at work? symmetry enhancement at the horizon AdS<sub>2</sub>

$$\text{QM}_1 \rightarrow \text{CFT}_1$$

The twisted index depends on  $\Delta_i$  because we are computing the trace

$$\text{Tr}_{\mathcal{H}}(-1)^F e^{i\Delta_i J_i} \equiv \text{Tr}_{\mathcal{H}}(-1)^R$$

where  $R = F + \Delta_i J_i$  is a possible R-symmetry of the system.

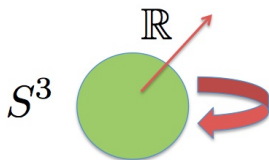
- $R$  is the exact R-symmetry at the superconformal point
- Natural thing to extremize: in even dimensions central charges are extremized, in odd partition functions...

## C. One dimension more

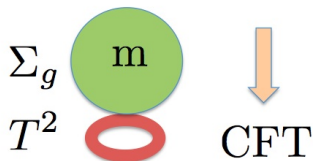
In AdS<sub>5</sub> there are two interesting objects

boundary

bulk



- **AdS<sub>5</sub> rotating black hole;** where the entropy comes from?



- **AdS<sub>5</sub> black string;** horizon AdS<sub>3</sub> × Σ<sub>g</sub>. 2d central charge of the CFT matched with gravity. c-extremization for R-symmetry

[Benini-Bobev]

# Conclusions

The world of SCFT in  $1 \leq d \leq 6$  is a lot of fun.

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**Thank you for the attention !**