

Entanglement entropy and the F theorem

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Introduction

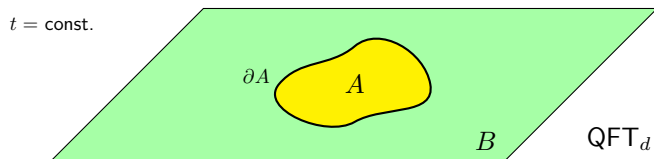
- ▶ This talk will be about:
 1. Entanglement entropy
 2. The **F theorem** for 3d field theories
- ▶ Both topics have been of considerable current interest and are intimately connected to each other.

Introduction: Entanglement entropy

- ▶ Consider a **spatial region** A and a **density matrix** ρ .
- ▶ Define ρ_A as the reduced matrix obtained by tracing out all degrees of freedom outside region A .
- ▶ The associated von Neumann entropy is the **entanglement entropy** i.e. $S_A = -\text{Tr}(\rho_A \log \rho_A)$.

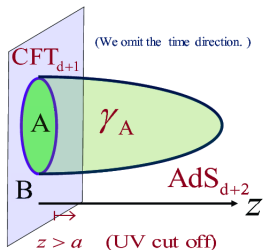
S_A is highly non-trivial to calculate, even in free quantum field theories....

Entangling region



Introduction: Holographic entanglement entropy

Entanglement entropy can be computed **geometrically** for field theories admitting a gravity dual in one higher dimension.



(Takayanagi)

- ▶ Holographic **Ryu-Takayanagi (RT)** prescription: area of co-dimension two minimal surface homologous to A

$$S_A = \frac{\mathcal{A}}{4G}$$

Properties of entanglement entropy

- ▶ **UV divergent**: leading divergence scales as the area of ∂A , the boundary of region A.
- ▶ Computable (and perhaps observable) in **CMT**, where lattice acts as UV cutoff.
- ▶ **Order parameter** for phase transitions.

The F theorem

- ▶ In a **2d CFT**, the anomalous trace of the stress energy tensor is

$$T = c\mathcal{R}$$

with c the central charge and R the Ricci scalar of the background.

- ▶ **Zamolodchikov's c-theorem** states that c is stationary at a fixed point and decreases monotonically along an RG flow.
- ▶ In even dimensions, there are proposals for **a theorems**.

F quantity in 3d CFTs

- ▶ In a 3d CFT we define the **F quantity** as

$$F = -\ln Z_{S^3}$$

and the F theorem states that $F_{UV} \geq F_{IR}$.

More precisely:

- ▶ F is conjectured to be **stationary** at the fixed point, **positive** in a unitary CFT and to **decrease** along an RG flow.

(Jafferis, Klebanov, Pufu, Safdi, ...)

Relevance of entanglement entropy

- ▶ For a **CFT in even dimensions** the entanglement entropy in the ground state contains universal terms

$$S_A \sim (-)^{\frac{d}{2}-1} a \log\left(\frac{R}{\epsilon}\right)$$

where R characterises the scale of the entangling region A , ϵ is a UV cutoff and a is the coefficient appearing in the a theorem.

- ▶ In **odd dimensions** finite terms

$$S_A = 2\pi (-)^{\frac{(d-1)}{2}} a$$

are related to the F quantity ([Casini/Huerta/Myers](#)).

Scheme dependence and renormalisation

- ▶ Both the partition function on S^3 and the entanglement entropy are **UV divergent**.
- ▶ Implicitly, the F quantity is the **renormalized** partition function on S^3 - scheme dependence?
- ▶ The **finite terms** in the entanglement entropy look ambiguous: as one shifts the cutoff the finite part changes.

Holographic entanglement entropy

In this talk we will use holography to gain insight into:

1. The **finite** contributions to entanglement entropy i.e. renormalization.
2. The relation to the **F theorem**.

References

- ▶ Marika Taylor and William Woodhead

1. Renormalized entanglement entropy, 1604.06808
2. The holographic F theorem, 1604.06809

Outline

- ▶ **Renormalized entanglement entropy**
 1. **CFT: minimal surfaces in AdS**
 2. General definition
- ▶ The F theorem

Divergent terms in the entanglement entropy

Consider a **3d CFT**:

- ▶ The entanglement entropy in the vacuum behaves as

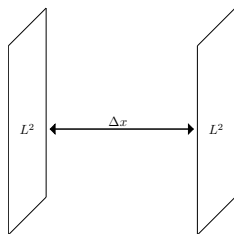
$$S_A = c_{-1} \frac{L}{\epsilon} + c_0 + \dots$$

where (c_0, c_{-1}) are dimensionless, L is the length of the boundary of the entangling region and $\epsilon \rightarrow 0$ is the UV cutoff.

- ▶ In a quantum critical **CMT system** ϵ would be related to the lattice spacing and c_{-1} might be “physical”.
- ▶ In a **continuum QFT**, we usually regulate with ϵ and then **renormalize**.

Previous attempts at renormalization

Based on **differentiating** with respect to parameters:



- ▶ For a **slab domain** in a local QFT, divergences in S must be independent of Δx .
- ▶ Therefore

$$S_{\Delta x} \equiv \frac{\partial S}{\partial \Delta x}$$

is UV finite.

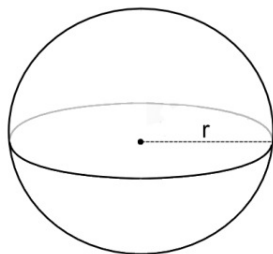
(e.g. Cardy and Calabrese)

Geometry dependence

- ▶ For a **spherical region** of radius R , divergences in S depend on R .
- ▶ For a 3d CFT (disk region) the combination

$$S(R) = \left(R \frac{\partial S}{\partial R} - S \right)$$

is UV finite. (Liu and Mezei)



Limitations of this approach

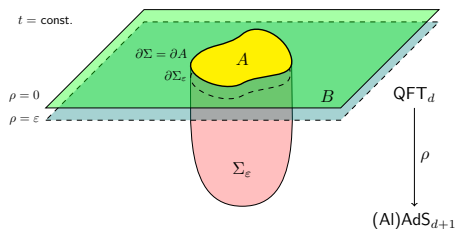
Current interest in dependence of entanglement entropy on **shape and theory** but:

- ▶ No definition for generic shape entangling region.
- ▶ $S(R)$ does not generically seem to be stationary at a fixed point (see [Jafferis et al](#)).
- ▶ Scheme dependence is obscure.



Area renormalization

Turning to holography:



- ▶ The natural UV cutoff is $r = \epsilon$.
- ▶ One can regulate the area of the minimal surface and define a **renormalized area** using appropriately covariant counterterms.

Earlier work on renormalized minimal surfaces:

(Henningson/Skenderis; Graham/Witten; Gross et al)

Renormalized entanglement entropy

- ▶ The Ryu-Takayanagi functional is

$$S = \frac{1}{4G} \int_{\Sigma} d^{d-1}x \sqrt{\gamma}$$

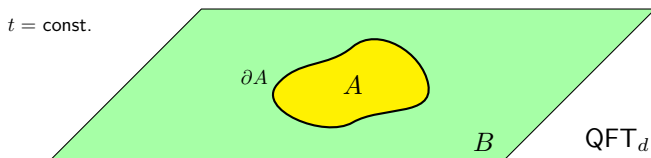
- ▶ Use the equations for the minimal surface to expand the surface area asymptotically near the conformal boundary and regulate divergences.
- ▶ **Covariant counterterms** are

$$S_{\text{ct}} \sim \int_{\partial\Sigma} d^{d-2}x \sqrt{h} \mathcal{L}(\mathcal{R}, \mathcal{K})$$

where \mathcal{K} is the extrinsic curvature of $\partial\Sigma$ into $r = \epsilon$.

Extrinsic curvature of entangling region

- **Complementarity**: for A and B to have the same renormalized entanglement entropy, we can include only terms which are **even** in the extrinsic curvature.



Results for 3d CFT

- ▶ The renormalized EE for an entangling surface in AdS_4 is

$$S_{\text{ren}} = \frac{1}{4G} \int_{\Sigma} d^2x \sqrt{\gamma} - \frac{1}{4G} \int_{\partial\Sigma} dx \sqrt{h} (1 - c_s \mathcal{K})$$

with $\partial\sigma$ the boundary of the minimal surface.

- ▶ Here \mathcal{K} is the **extrinsic curvature** of the bounding curve (into the cutoff surface).
- ▶ Complementarity implies that $c_s = 0$.

Disc entangling region

- ▶ Consider an entangling region which is a **disc** of radius R .

$$S_{\text{ren}} = -\frac{\pi}{2G},$$

where G is dimensionless.

- ▶ This EE is related to the F quantity by the CHM map.

Casini-Huerta-Myers map

- ▶ Starting from

$$ds^2 = -dt^2 + dr^2 + r^2 d\phi^2$$

let

$$t = R \frac{\cos \theta \sinh \tau / R}{(1 + \cos \theta \cosh \tau / R)}$$

$$r = R \frac{\sin \theta}{(1 + \cos \theta \cosh \tau / R)}$$

so

$$ds^2 = \Omega^2(-\cos^2 \theta d\tau^2 + R^2(d\theta^2 + \sin^2 \theta d\phi^2))$$

- ▶ Covers $0 \leq r < R$ in original coordinates, i.e. disc.

Partition function and EE

- ▶ State in de Sitter is **thermal** with $\beta = 2\pi R$.
- ▶ Entanglement entropy for disc is mapped to thermodynamic entropy, which in turn is related to the partition function

$$S_{\text{deSitter}} = -W = \ln Z$$

- ▶ Working in Euclidean signature the disc entanglement entropy is thus proportional to the **partition function on S^3** .

Matching holographic renormalization schemes

- ▶ The counterterms for AlAdS_4 manifolds are (de Haro et al)

$$I_{\text{ct}} = \frac{1}{8\pi G} \int d^3x \sqrt{h} \left(K + 2 - \frac{\mathcal{R}}{2} \right)$$

There are no possible **finite counterterms**.

- ▶ The renormalized onshell action for Euclidean AdS_4 gives

$$F = \frac{\pi}{2G}.$$

Scheme dependence

- ▶ The **holographically renormalized** partition function F indeed matches our **renormalized** disc entanglement entropy.
- ▶ Note that there are no possible **finite terms** in either renormalization scheme.

Outline

- ▶ **Renormalized entanglement entropy**
 1. CFT case: minimal surfaces in AdS
 2. **General definition**
- ▶ The F theorem

Definition of REE

- ▶ The holographic **area renormalization** of minimal surfaces is hard to connect with field theory renormalization.
- ▶ Related to the issue of first principles derivation of the holographic entanglement entropy formula (cf [Lewkowycz/Maldacena](#)).

General definition of renormalized entanglement entropy

- ▶ Entanglement entropy is often computed using the **replica trick**:

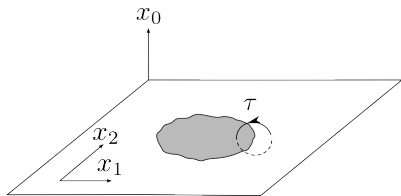
$$S = -n\partial_n [\log Z(n) - n \log Z(1)]_{n=1}$$

where $Z(1)$ is the usual partition function and $Z(n)$ is the partition function on the replica space in which a circle coordinate has periodicity $2\pi n$.

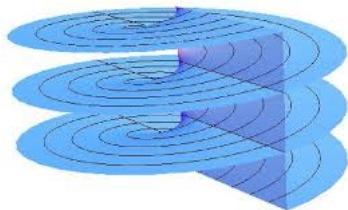
- ▶ Formally, to renormalise the entanglement entropy we can work with renormalized partition functions

$$S_{\text{ren}} = -n\partial_n [\log Z_{\text{ren}}(n) - n \log Z_{\text{ren}}(1)]_{n=1}$$

Replica trick



3d field theory: on replica space τ has periodicity $2\pi n$.



Visualisation of $n = 3$ replica space.

Holographic renormalization

- ▶ Holographically $\log Z_{\text{ren}}(n)$ is computed by the renormalised onshell action for a geometry with a **conical singularity** (Lewkowycz and Maldacena).
- ▶ From the replica formula, we can then derive the Ryu-Takayanagi formula.
- ▶ The standard **holographic renormalization** counterterms for the bulk action then imply our counterterms!

Holographic renormalization

- ▶ For example, for an **asymptotically locally AdS₄** spacetime the action counterterms are

$$I_{\text{ct}} = \frac{1}{8\pi G} \int d^3x \sqrt{h} \left(K + 2 - \frac{\mathcal{R}}{2} \right)$$

- ▶ For the **replica space** (Solodukhin)

$$\mathcal{R}_n = \mathcal{R} + 4\pi(n-1)\delta_\Sigma + \mathcal{O}(n-1)^2$$

where Σ is the conical singularity, i.e. the boundary of the entangling surface.

Holographic renormalization

- ▶ Applying the **replica formula** then leads to exactly

$$S_{\text{ct}} = -\frac{1}{4G} \int dx \sqrt{\gamma}$$

i.e. our **counterterm** localized on the boundary of the entangling surface.

- ▶ Procedure works for higher derivative theories such as **Gauss-Bonnet**.
- ▶ We can match **renormalization scheme** for EE with that of action!

Outline

- ▶ Renormalized entanglement entropy
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The F theorem

Weak version:

- ▶ $F_{UV} > F_{IR}$.

Strong version:

- ▶ F decreases monotonically under any relevant deformation.

To test the latter, we need to consider **RG flows**.

Holographic RG flows

A **holographic RG flow** is described by:

- ▶ A **"domain wall"** geometry

$$ds^2 = dr^2 + \exp(2A(r))dx^\mu dx_\mu$$

- ▶ A set of **scalar** field profiles

$$\phi_a(r)$$

- ▶ **First order** equations of motion relating $A(r)$ and $\phi_a(r)$.

Renormalized EE

- ▶ The **bare Ryu-Takayanagi EE** depends only on the Einstein metric

$$S = \frac{1}{4G} \int d^{d-1}x \sqrt{\gamma}$$

- ▶ The counterterms can and do depend on the **matter**:

$$S_{\text{ct}} \sim \int d^{d-2}x \sqrt{h} \mathcal{L}(\mathcal{R}, \mathcal{K}, \phi_a, \nabla \phi_a, \dots)$$

RG flow of 3d field theory

Consider four dimensional bulk ($d = 3$), single scalar ϕ .

- ▶ Assume **UV conformal**, so potential can be expanded near boundary as

$$V = 6 - \sum_{n=2}^{\infty} \frac{\lambda_{(n)}}{n!} \phi^n$$

with $\lambda_{(2)} = M^2 = \Delta(\Delta - 3)$.

- ▶ First order form of equations

$$\dot{A} = W \quad \dot{\phi} = -2\partial_{\phi} W$$

where V is a known expression quadratic in **(fake) superpotential W** .

REE for relevant deformations

- ▶ We need the following counterterms in the REE:

$$S_{\text{ct}} = -\frac{1}{4G} \int dx \sqrt{h} \left(1 + \frac{(3 - \Delta)}{8(5 - 2\Delta)} \phi^2 + \dots \right)$$

where second term is needed for $\Delta > 5/2$.

- ▶ The counterterms can be expressed in terms of the superpotential

$$S_{\text{ct}} = -\frac{1}{4G} \int dx \sqrt{h} Y(\phi)$$

where

$$W(\phi) Y(\phi) + \frac{dW}{d\phi} \frac{dY}{d\phi} = 1.$$

Anomalies

- ▶ For $\Delta = 5/2$ the counterterm becomes logarithmic, i.e.

$$S_{\text{ct}} = -\frac{1}{128G} \int dx \sqrt{h_E} \phi^2 r_0$$

where r_0 is the UV cutoff.

- ▶ In general, conformal anomalies already known to arise for $\Delta = d/2 + 1$, see (Rosenhaus and Smolkin; Jones and MT)
- ▶ Additional conformal anomalies ($\sim \phi^n$) arise at $\Delta = 3 - 1/n$ ($\Delta = d + (2 - d)/n$).

F quantity

- ▶ Under a **relevant** deformation of the 3d CFT

$$I \rightarrow I + \int d^3x \lambda \mathcal{O}_\Delta$$

the F quantity should **decrease**:

$$F(\lambda) = F_{UV} - \lambda^2 F_{(2)} + \mathcal{O}(\lambda^3)$$

with $F_{(2)} > 0$.

Renormalized entanglement entropy

- ▶ Compute the change in the REE to order λ^2 , using the change in the Einstein metric induced.
- ▶ For $\Delta > 3/2$

$$\delta S_{\text{ren}} = \frac{\pi}{16(2\Delta - 5)G} \lambda^2 R^{2(3-\Delta)} + \mathcal{O}(\lambda^3).$$

Positive for $\Delta > 3/2$ (agrees with F theorem) but **negative** for $\Delta < 5/2$!

- ▶ Note that for $\Delta = 3$:

$$\delta S_{\text{ren}} = 0$$

Change of F quantity under RG flows

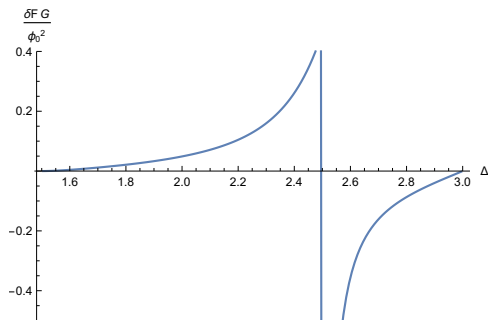
- ▶ We can also compute the **change in F** directly, by computing the onshell action for a curved domain wall solution

$$ds^2 = dw^2 + e^{2A(w)} ds_{S^3}^2 \quad \phi(w)$$

corresponding to the **RG flow on S^3** .

- ▶ Surprisingly this more involved (numerical) calculation has never been done.

Change of F quantity under RG flows



- ▶ δF is **positive** for $\frac{3}{2} \leq \Delta_+ < \frac{5}{2}$: strong F theorem is false!
- ▶ In our flows $F_{IR} < F_{UV}$.

Dual quantizations

- ▶ For $-d^2 \leq 4m^2 \leq -d^2 + 4$, two quantisations are possible, Δ_{\pm} .
- ▶ If for the Δ_+ operator

$$F = F_{(0)} + F_{(2)}\phi_{(0)}^2 + \dots$$

with $\phi_{(0)}$ the source then for the Δ_- operator

$$F = F_{(0)} - \frac{1}{4F_{(2)}}\Psi_{(0)}^2 \dots$$

where $\Psi_{(0)}$ is the source.

Violation of the strong F theorem

- ▶ Either Δ_+ quantization or the Δ_- quantization must **violate** the strong F theorem!
- ▶ Both quantizations arise in holographic theories such as **ABJM**.
- ▶ Strong F theorem does not hold.... unless our models are in the swampland.

Conclusions and outlook

Renormalized entanglement entropy is useful in field theory applications of entanglement entropy.

To explore further:

- ▶ **Applications of REE** to phase transitions, first law etc.
- ▶ Field theory calculation of renormalized EE using the **replica trick** (i.e. partition functions).

Conclusions and outlook

The **strong F theorem** does not seem to hold.

However:

- ▶ Does the weaker version $F_{UV} \geq F_{IR}$ always hold?
- ▶ Is there a **modified version** of the strong F theorem?